



Sardar Patel University of Police Security and Criminal Justice, Jodhpur

(Established Under State Legislative Assembly Act of Govt. of Rajasthan)

M.Phil. / Ph.D. REGULATIONS

(Minimum Standards and Procedure for Award of M.PHIL./PH.D Degrees) Regulations, 2017

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MASTER OF PHILOSOPHY (M.Phil.) / DOCTOR OF PHILOSOPHY (Ph.D.)

1. INTRODUCTION:

- A. This Regulation shall be called "Sardar Patel University of Police, Security and Criminal Justice, Jodhpur-Regulations for the award of Master of Philosophy (M.Phil.) / Doctor of Philosophy (Ph.D.)". It shall come into force from the date notified by the Sardar Patel University of Police, Security and Criminal Justice, Jodhpur (Hereinafter SPUP).
- B. This Regulation shall apply to the M.Phil./Ph.D. studies conducted in different disciplines/ Departments/Schools, recognised institutions and/or centres approved by the SPUP for the purpose of M.Phil./Ph.D. studies.
- C. The provisions of these Regulations shall be applicable to any new disciplines that are introduced from time to time and added for M.Phil./Ph.D.
- D. The Regulations govern the conditions for imparting instructions for the courses, conduct of examinations and evaluation of students' performance, etc. leading to M.Phil./Ph.D. degree.
- E. Degree of M.Phil. shall be awarded as per resolution passed on 10th August 2015 in the 7th Meeting of Academic Council and 6th April 2017, in the 9th Meeting of Academic Council (regarding centre for Peace and Conflict Studies).
- F. The degree of Doctor of Philosophy (abbreviated as Ph.D.) shall be awarded by research (with prior qualifying examinations as prescribed) in such subjects as recognized by the Departments of Studies comprised in the Faculties of learning of the University, subject to the conditions and regulations hereinafter contained.
- G. Research shall be a definite contribution to the existing knowledge in any branch or branches or learning and may be characterized by discovery of new facts and/or new interpretation of existing fact or theories.
- H. Doctoral Program leading to the degree of Ph.D. shall be offered in various departments of the following faculties: -
 1. Faculty of Criminal Justice & Police Studies
 2. Faculty of Social Sciences and Humanities
 3. Faculty of Public Safety & National Security
 4. Faculty of Science, Technology and Forensics
 5. Faculty of Management & Behavioural Science
- I. The provisions of these Regulation shall be applicable to any new Disciplines / Departments that are introduced from time to time and added to the above list.
- J. Inter disciplinary research related to Core areas of Information Technology including Cyber security, Homeland Security, Police Sciences & Internal Security, Forensic Sciences, Forensic Psychology, Criminology, advancement of technology, Law, Police Administration and allied emerging areas shall be encouraged.
- K. If a candidate seeks to be admitted to the Ph.D. degree in a subject other than the one in which he has obtained his Master's or equivalent degree, the Research Board or Boards concerned shall consider the registration of such a candidate, taking into account the relationships of his chosen topic to the courses he has already done also its inter-disciplinary aspects, if any. In such a case of Ph. D. in interdisciplinary subject the supervisor shall be of a department in which synopsis of thesis is submitted and co-supervisor of related department may be appointed by the Vice Chancellor in consultation with the supervisor.



2. Various Committees for Ph.D. Programme

For the smooth conduct of Ph.D. program, following committees are constituted.

- a. Departmental Research Committee (DRC)
 - b. Research and Development Committee (RDC) / Research Board (RB)
- The Constitute of Committees and their duties

a. DEPARTMENTAL RESEARCH COMMITTEE (DRC): -

Compositions: -

1. Research Supervisor: - Chair Person
2. Internal Teachers – Two Nos.
3. External Teacher – One No.

Functions: -

- i. To allot research supervisor to a scholar with mutual consent
- ii. The Research scholar has to present his Synopsis before its submission for Ph.D. Registration and shall give a Pre-Ph.D. presentation in the Departmental Council. The council may suggest modification if any which should be incorporated in the thesis.
- iii. Internal Assessment of the course work if any
- iv. To resolve the problems of the scholars of the department.

b. RESEARCH AND DEVELOPMENT COMMITTEE (RDC) / RESEARCH BOARD (RB): -

Compositions: -

- i. The Vice - Chancellor.
- ii. Dean of the faculty concerned / Dean Academics.
- iii. Heads of the departments.
- iv. Two experts from outside SPUP

Functions: -

- i. Recognition of Research Supervisor and Co-Supervisor as per University Regulations.
- ii. To consider the applications of candidates for Ph.D., D.Litt. and D.Sc. degrees and the approval for their registration.
- iii. Appointment of the Examiners to evaluate the thesis.
- iv. To consider the report of the Examiners, on positive reports the Board may permit for the Viva-Voce Examination and on negative reports the action may be suggested as per regulations.
- v. Award of research fellowship if any.
- vi. To exercise general control over matters concerned with research work in the faculty.

3. ELIGIBILITY FOR APPEARING IN EXAMINATION AND EXEMPTION:-

- A. Candidates who have obtained Master's Degree or equivalent recognized by UGC with a minimum 55% marks in aggregate or equivalent grade point average in concerned/allied subjects are eligible for admission in Ph.D./M.Phil. programme (in service professionals will be exempted from the requirement of minimum grade point in concerned / allied subject).



- B. Aggregate percentage of marks shall not include grace marks.
- C. There will be a relaxation of 5% marks (or equivalent grade point average) for reserved categories as per Government rules.
- D. Candidates who have qualified UGC / CSIR (JRF) Examination / SLET / NET / GATE /M. Phil Degree holders, who had completed their M.Phil. programme through Common Entrance Test and in service professionals shall be exempted from Entrance Test for Ph.D. However, they would be required to appear for group discussion and interview.
- E. A candidate appearing in the final year/semester of the qualifying examination may also apply and appear in the Entrance test for the Ph.D. programme provided the result of the qualifying examination is declared before the declaration of the result of the entrance test and he/she fulfils the eligibility criteria.

4. ADVERTISEMENT AND AVAILABILITY OF SEATS: -

- A. At the beginning of every academic session the university shall determine vacant seats of each subject for Ph.D. on the basis of available approved supervisors and publish the vacant seats through National / State wide advertisement. The university shall invite applications from candidates who wish to appear in SPUP-MPET.
- B. While admitting students for the Ph.D. programme the reservation policy of the State /Centre shall be followed at the University level subject to availability of eligible candidates otherwise the seats will be treated as unreserved.
- C. University has all the rights to Increase or Decrease the seats at any point of time as per the directions of Raj Bhawan / UGC.

5. PROCEDURE OF ENTRANCE EXAMINATION AND SCHEME OF EXAMINATION: -

- A. All the eligible candidates shall be allowed to appear in a written test. Written test shall be of 100 marks. (For Executive Ph.D. Programme for in Service Professionals, The candidates will be exempted from appearing in the entrance Examination (MPET)).
- B. The Entrance Test will be held as and when notified by University. After the last date of registration if there are more than 15% of total seats of Ph.D. in any Department/subject vacant or not filled due to any reason, the post Entrance Test admission procedure laid down above shall be followed again.
- C. The scheme of entrance examination encompasses proficiency in language (Graduation Level), Computer Awareness (CCC+ level), Research Methodology & subject related Questions (Post Graduate Level).
- D. The Entrance Examination shall be of two hours duration for 100 marks.
 - i. Section A: 50 objective type questions of one mark each
 - ii. Section B: 50 multiple choice questions (one mark for each correct answer & a negative marking of 0.25 marks for each wrong answer.
- E. To qualify in the entrance test, a candidate shall secure an aggregate of 55% marks (50% for SC/ST candidates), with at least 45% (40% for SC/ST/PH) in each Paper/Section.
- F. The University shall publish the merit lists of candidates for each Department/subject.
- G. The merit list of the candidates seeking admission through entrance test will be prepared on the basis of total marks secured both in the Entrance test and Post Graduate examination marks.



- H. Selected candidates based on the above merit shall have to pay the prescribed fee within seven days from the date of offer of admission, failing which the seat shall be allotted to the next candidate of the merit list.
- I. The date of payment of fees will be considered as the date of provisional registration.
- J. No scrutiny/revaluation of the answer books of the written test shall be allowed.
- K. For an Institution which is Category III institution. Only those candidates would be eligible for enrolling to a Ph.D. course who have qualified the NET/SLET/SET Examinations. Provided further that NET/SLET/SET not be required for such programmes where the accredited NET/SLET/SET are not conducted. (UGC minimum standards and procedure of award of M.Phil. / Ph.D. Degree) (1st Amendment) Regulation 2017

6. COURSE WORK, EVALUATION AND REGISTRATION: -

- A. Selected candidates shall take admission in the Course Work in that particular academic session only. This shall be considered as Provisional Registration.
- B. It is compulsory for all selected candidates pursuing Ph.D. to attend the course work offered by the Department concerned / Faculty of the SPUP.
- C. The course work shall consist of three papers each carrying 100 marks, out of which 60 marks will be for the Course work examination and remaining 40 marks for the presentation on relevant areas of thesis Research Work / Ph.D. work.
- D. The papers shall be on (i) Research Methodology and Computer Applications (as per UGC recommendation) (ii) Advance knowledge on the concerned subject (iii) Awareness of various aspects of the subject in which Ph.D. admission is sought by the selected candidate.
- E. Syllabus for Paper-I shall be common and prepared by common Board of Studies. Paper-II and Paper-III shall be framed by the Department concerned approved by Academic Council.
- F. A scholar is expected to have 100% attendance in each paper of the course work. However, a minimum of 75% attendance shall be mandatory for becoming eligible to appear in the course work examinations.
- G. A scholar has to secure a minimum of 50% marks in course work examination.
- H. Candidates who fail to secure the minimum qualifying marks in the course work shall be given only one more chance to clear back paper/s only as he/she will be exempted from attending course work again.
- I. If the candidate fails to qualify again, he/she will be discontinued from the Ph.D. programme.
- J. The candidates who have already got the M. Phil. degree (Provided he/she has already completed the course works as per regulation 2009) prior to admission in Ph.D. programme shall be exempted for attending the Ph.D. course work.
- K. Provisionally registered candidates who fails in Course work examination or not appeared in course work examination due to valid reason/s shall be given one more chance to appear in immediately next course work examination.
- L. The list of successful candidates in the course work examination shall be circulated among the Department concerned for further process.
- M. The Scholars who are exempted for pursuing Ph.D. course work shall submit prescribed application form along with their synopsis on the proposed topic of research prepared in



consultation with the concerned Supervisor to the **DRC** for approval preferably within one month from the date of their admission.

- N. Other scholars shall finalize synopsis on the proposed topic of research in consultation with the concerned Supervisor and submit with along prescribed application form to the **DRC** for approval within one month after being allotted concern Supervisor.
- O. **DRC** shall organize an open pre synopsis submission seminar, for the candidates where candidates are supposed to defend their research proposal.
- P. **DRC** shall examine the application and research proposal. **DRC** on satisfaction with the research proposal shall approve the proposal and the topic of research, and shall be forwarded to the Research and Development Committee (RDC) / Research Board (RB) for final approval.
- Q. The final date of Registration shall be the date of acceptance of synopsis by the **DRC**.

7. **CATEGORIES: -**

SPUP shall offer full time Ph.D. Programme and Part Time Ph.D. Programme where candidates shall have to work for their Research work in the University / Field.

8. **ALLOCATION OF SUPERVISOR AND CO-SUPERVISOR: -**

- A. On the basis of result, the allocation of research Supervisor for a selected candidate shall be decided by the **DRC** depending on the number of candidates per faculty member, the available specialization among the research Supervisor and the research interest as indicated by the candidate.
- B. The allotment of Research Supervisor shall not be left to the individual candidate or Supervisor.
- C. A Research Supervisor shall be assigned to every scholar admitted to the Ph.D. programme within one month from the date of declaration of result of course work examination.
- D. Supervisor wise quota shall be declared.
- E. Reservation shall be observed at the time of allotment. If candidate/s are not available against reserved seat, shall be filled by unreserved category. Foreign candidate will be treated at par with open category.
- F. The University shall ensure that adequate research facilities made available before allocating the Ph.D. students to Ph.D. Supervisors in various subject-disciplines. (Reference 10.2 and 10.3 of UGC guidelines 2016)
- G. A seat shall be considered to be vacant only after a registered research scholar submits his/her thesis for Ph.D.
- H. If a candidate seeks to be admitted to the Ph.D. degree in a subject other than the one in which he has obtained his Master's or equivalent degree, the Research Board or Boards concerned shall consider the registration of such a candidate, taking into account the relationships of his chosen topic to the courses he has already done also its inter-disciplinary aspects, if any. In such a case of Ph.D. in interdisciplinary subject the supervisor shall be of a department in which synopsis of thesis is submitted and co-supervisor of related department may be appointed by the Vice Chancellor in consultation with the supervisor.
- I. No person will be normally allowed to supervise his close relations. If somebody wants to do so, prior permission of the Research Board will be necessary. If such permission is granted, the supervisor will not act as one of the examiners when the thesis of the



candidate is submitted. All the three examiners will be external. The supervisor will also not suggest names of the examiners to evaluate the thesis. The term 'close relation' includes wife, husband, son, daughter, grandson, granddaughter, brother, sister, brother-in-law, sister-in-law, nephew, niece, grand-niece, grand-nephew, uncle, aunt, first cousin, son-in-law, daughter-in-law and nephew niece, grand-niece and grand-nephew of the supervisor's wife.

8.1. CO-SUPERVISOR:

- A. If the research topic is of interdisciplinary nature, the candidate with the consent of his Supervisor may opt for a Co-Supervisor. However, the main responsibility of supervising the research work shall vest with the Supervisor and the candidate shall finalize and submit the thesis through the Supervisor.
- B. In case of related subjects or on specific request, the DRC with the approval of RDC / RB may, if necessary, recommend appointment of a Co-Supervisor.
- C. Generally, he/she shall be a Professor/ Associate Professor/Assistant Professor in any University/Institution of the Country and must be recognized Supervisor.
- D. In case a Co-Supervisor is also appointed, the candidate shall be counted towards the quota of both the Supervisor and the Co-Supervisor.

9. OTHER RULES:

- A. A Ph.D. scholar should not join any other degree course except Certificate Course(s) with due permission of the Head of concerned Dept. on the recommendation of the Supervisor.
- B. The scholars getting fellowship from UGC or other agencies shall take six teaching periods per week and also assist in other academic / Research Activities with the consent of the supervisor.
- C. Every finally registered candidate shall have to reside in the territorial limit of the university (Rajasthan State) for a period of two years from the date of final registration.
- D. If a Ph.D. guide continues his / her service in any capacity within university jurisdiction then he/she can continue guiding the student as a principal guide.
- E. The Supervisor shall be the overall In-charge for supervision of the candidates work.

10. LIMIT OF Ph.D. SCHOLARS FOR TEACHERS:

- A. A Professor shall not have, at any given point of time, more than Eight Ph.D. scholars and Five M.Phil. Scholars.
- B. An Associate Professor shall not have, at any given point of time, more than Six Ph.D. scholars and three M.Phil. scholars.
- C. An Assistant Professor shall not have, at any given point of time, more than four Ph.D. scholars and two M.Phil scholars.

Note: Reference 6(vii) of Raj Bhawan Ph.D. Regulations



11. FEES:

- A. Fees payable by a candidate admitted to the Ph. D. programme shall be as prescribed by the University from time to time.
- B. A research student, who fails to pay the prescribed fee within stipulated time, shall be required to pay an amount as late fee, in addition to the tuition and other fees is due from him/her as per the University rules in force from time to time.
- C. If a research student fails to pay fee for three consecutive terms, then his/her registration shall be cancelled.
- D. Fees once paid shall not be refunded in any case.

12. ELIGIBILITY CRITERIA FOR RECOGNITION AS A RESEARCH SUPERVISOR: -

- A. Any regular Professor of SPUP of the Department concerned with Ph.D. degree shall be eligible to be appointed as Supervisor in his/her Department.
- B. Any Regular Associate Professors of SPUP of the Department concerned having Ph.D. degree and at least two research papers published in refereed (ISSN) Research Journals in his/her Department.
- C. Any Regular Assistant Professor of SPUP of the Department concerned having Ph.D. degree with at least two research papers published in refereed (ISSN) Research Journals shall act as Supervisor in his/her department.
- D. An eminent scholar, Scientist or a senior professional having good academic record with more than 10 Years Teaching or Research experience or a Professional who has made a significant contribution at national and International level in the domain of Security and Criminal Justice with a Ph.D. in any allied / related relevant subject with publications in referred journals (ISSN). The Directors and Addl. Directors of various centres of Sardar Patel University (SPUP) may also come under this category provided they have good academic record with Ph.D. and minimum 10 years of professional / teaching experience in their domain.
Adjunct Faculty member as per the guidelines of UGC for "Empanelment of Adjunct faculty in Universities and Colleges" as per shall also be involved in the M.Phil/Ph.D. coursework based on his professional and research proficiency adjudged by the concerned institution.
- E. The University shall constitute a **Committee for Recognition** as a Research Supervisor with the following as members to evaluate the application with regard to the fulfilment of the above criteria before recommending recognition of a teacher as a Ph.D. Supervisor:
 - 1. Vice Chancellor
 - 2. Dean of the faculty concerned
 - 3. Head of the Department concerned
 - 4. Two External subject expert (Professor Level)
- F. It shall meet at least twice a year to consider applications for recognition as Research Supervisor of SPUP by deciding on each case individually on merit.
- G. Recommendations of selection Committee for Recognition as Ph.D. Supervisor shall be subject to approval of the Academic Council.



13. ALLOCATION OF A NEW SUPERVISOR: -

- A. In case the Supervisor appointed is not in position to continue to supervise a candidate due to his retirement / leave for more than a year / terminated from the University service or otherwise, the Co-Supervisor shall supervise the scholar as Supervisor. If there is no Co-Supervisor for the concerned scholar then the DRC with the approval of RDC/RB shall appoint a new Supervisor.
- B. No change of Supervisor shall, however, be necessary in case of absence of less than a year of the Supervisor, provided the scholar has worked under him for a year or more, or if the scholar submits the thesis itself before the Supervisor proceeds on leave, then the existing Supervisor shall continue to be the Supervisor.

14. CHANGING / MODIFICATION OF THE TITLE OF RESEARCH: -

- A. Change in the Title of the thesis/ Minor modification in the title (**Once Only**) of Research shall be permitted only once on a written request of the scholar and recommended and forwarded by the Supervisor to the DRC. DRC shall recommend for such necessary changes to the RDC/RB for approval. Such modification shall be permitted at least six months before the date of submission of the thesis.

15. DURATION OF Ph.D. RESEARCH AND SUBMISSION OF THESIS:

- A. Ph.D. programme shall be for a minimum period of 3 years including course work and maximum of 6 years for full time research scholars and 4 year including course work and maximum of 7 years for executive research scholars.
- B. The extension beyond the above limits may be permissible for a further period of one year on case to case basis by the Vice-Chancellor through concerned Supervisor with a valid reason. No further extension shall be granted after this period and candidates shall be required to re-register afresh beyond this period.
- C. Women candidates and persons with disability (more than 40% disability) may be allowed a relaxation of two years in maximum period. In addition the women candidates may be provided maternity leave / Child care leave once in entire duration for up to 240 days.
- D. Date of Registration shall be counted from date of final registration.

16. REVIEW OF PROGRESS AND CANCELLATION OF REGISTRATION: -

- A. The scholar shall submit six monthly progress reports till the submission of the thesis.
- B. He/she shall be allowed to pay the next semester fee only after submitting the report. If the progress of the registered student in a term/semester is not satisfactory, the Supervisor and DRC shall suggest appropriate actions to the effect.
- C. The concerned DRC will forward the progress report with its recommendation to the RDC/RB for approval and onward submission to the Deputy Registrar (Academic). One copy of the progress report shall be kept in the department for record.
- D. If the candidate is absent for a continuous period of four weeks without prior information/sanction of leave (to the concerned Supervisor), his/her registration will stand cancelled.
- E. Registration will stand cancelled if a scholar fails to submit consecutive two six monthly progress report or two consecutive progress reports are unsatisfactory.



- F. If the candidate fails to submit the thesis within the maximum stipulated time as provided in these regulation his/her registration will stand cancelled.
- G. If the candidate resigns from the Ph. D. programme and the resignation is duly recommended by the Supervisor, his/her registration will stand cancelled.
- H. If the candidate is found involved in an act of misconduct and/or indiscipline and his/her termination has been recommended by the Supervisor and forwarded by DRC, in such case his/her registration will stand cancelled.

17. PRE-SUBMISSION SEMINAR: -

- A. Prior to the submission of the synopsis and thesis, a comprehensive internal assessment of the research work should be made by the DRC.
- B. DRC shall access the work through a pre-submission seminar. The candidate can submit the thesis on the recommendation of this committee.
- C. Details of the pre-synopsis seminar shall be adequately notified so as to enable interested staff members and students to attend the same.
- D. If the DRC is satisfied with the pre-submission seminar of the research scholar, the Supervisor shall forward the application along with synopsis of the research scholar including a certificate about the completion of the pre-synopsis seminar to the Registrar through the Dean, of concerned Faculty.
- E. If the DRC is not satisfied with the Research work of Scholar the suggested improvement may be incorporated by the Scholar in the work after words a fresh Pre-submission seminar be arranged.

18. SUBMISSION OF Ph.D. THESIS:

- A. The scholar shall submit Five hard-bound printed or typed copies of the thesis duly forwarded by Supervisor and countersigned by the Head of the Department / Dean Academics along with two soft copies of the thesis in a CD/DVD to Office of the Head of the Department / Dean Academics for its onward forwarding to the Registrar. The thesis should include the following:
 - i. The thesis shall contain a certificate declaring originality of work, non-plagiarism at the beginning of the thesis.
 - ii. Five hard copies and two soft copies (in a CD/DVD) of the abstract of the thesis written in about 1500 words describing the salient features of his/her research.
 - iii. A pre-submission seminar completion certificate issued by the concerned Head of the Department / Dean Academics.
 - iv. Dues clearance certificates from the Department concerned, Halls of Residence, Lab, Libraries and Finance branch of the University.
 - v. One research article in refereed journal having ISSN with the thesis for adjudication and produce evidence for the same in form of acceptance letter or reprint.
 - vi. The candidate shall also submit one copy of the thesis and the abstract to the Supervisor and Co-Supervisor (If any).
- B. The Office of the Head of the Department / Dean Academics, on receipt of the thesis, shall verify the Title of the thesis, submission of all progress reports by the scholar, and validity of his/her registration period, on satisfaction of which he shall issue a slip for deposition of requisite fees for evaluation of the thesis, and subsequently will issue a



thesis submission certificate to the scholar. The thesis, thereafter, shall be sent to the Office of the Registrar for further necessary action.

- C. A thesis once submitted to the University cannot be re-submitted except when the examiner recommends for the revision of the same.

19. PANEL OF EXAMINERS AND EVALUATION OF Ph.D. THESIS:

- A. On a report from the Supervisor that the candidate's thesis is likely to be submitted within six months, the Head of the Department / Dean Academics shall make a request to the Vice-Chancellor for appointing examiner from a panel of names. The Supervisor shall give a panel of at least eight names of external examiners with full particulars of each, competent to evaluate the thesis. The Head of the Department / Dean Academics shall review the list and shall forward the same to the Vice-Chancellor for being placed before the RDC/RB. The RDC / Research Board shall consider the list while appointing two examiners out of which at least one shall be outside the state of Rajasthan. Two alternate names shall be given. The Supervisor shall be the third examiner unless he is related to the candidate. In the case of relationship of Supervisor the Head of the Department or some other teacher of the department or another examiner will be appointed.
- B. The external examiners in the panel should be from Universities/Institutes where postgraduate teaching and research programme are maintained. The panel shall not include the names of Supervisor /Co-Supervisor.
- C. External examiners must be a recognized Supervisor for Ph.D. of their respective University.
- D. If however, no information is received from an examiner within the time period mentioned (preferably in One month)his/her appointment shall be cancelled and a new examiner shall be appointed by the Vice-Chancellor from the existing panel of examiners.
- E. On receipt of the acceptance from any examiner through e-mail/post, the Registrar shall send the copy of the thesis and take necessary action to get the report of the examiner expeditiously. The examiners shall be requested to submit their individual reports within one months of the receipt of the thesis. However one month extension may be given, if examiner requests for the same.
- F. In case, an examiner does not send his/her report within the above period, a reminder shall be sent to him/her. This shall be followed by a subsequent reminder after a fortnight.
- G. In the event of the report not being received from the examiner within two months, his/her examiner ship shall be cancelled and a new examiner shall be appointed by the Vice-Chancellor from the existing panel of examiners.
- H. Each examiner, after examining the thesis submitted by the scholar for the award of the Ph.D. degree, shall submit a report to the Registrar containing a clear recommendation on the following:
- i. The thesis is recommended for the award of the Ph.D. degree after viva-voce (Viva can be conducted online also).
 - ii. The thesis should be referred back to the candidate for revision and resubmission as per suggestions and comments given, thereof,
 - iii. The thesis is not recommended and therefore rejected.



- I. If two out of three examiners recommend acceptance of the thesis for the award of the Ph.D. degree, the thesis shall be accepted.
- J. If two out of three examiners recommend rejection of the thesis for the award of the Ph.D. degree, the thesis shall be rejected.
- K. After receiving all three reports Registrar shall send it to the Vice-Chancellor who shall open envelopes and approve the findings of Examiners.
- L. The Viva-Voce should be held as early as possible and normally within one month from the approval of the findings of Examiners.
- M. Normally the University shall ensure the completion of the whole process of evaluation of the thesis within a period of six month from the date of its submission.

20. RESUBMISSION OF THESIS:

- A. In case of resubmission of thesis, the thesis must be resubmitted within one year from the receiving of comment of examiner by incorporating the suggested improvements. If necessary, extension of registration for re-submission of the thesis shall be granted by the **RDC/RB**.
- B. The resubmitted thesis shall be examined normally by the same examiner(s) asking for revision unless any one of them, or both of them are unable or unwilling to act examiner, and in that case another examiner may be appointed by the Vice-Chancellor from the panel of the examiners.
- C. Positive recommendations from the examiner(s) on resubmitted thesis shall be necessarily required for award of the degree. The thesis shall be resubmitted only once and the verdict of the examiner(s) shall be final and binding.

21. VIVA-VOCE:

- A. The Viva-Voce of the candidate shall be conducted by a Viva-Voce Board comprising of the following members:
 - i. The Dean of the Faculty concerned as Chairman;
 - ii. One External Examiner (who has evaluated the thesis);
 - iii. The Head of the Department / Senior most professor;
 - iv. The Research Supervisor
 - v. Faculty members of SPUP and interested Ph.D. Scholars of the Department.
- B. Out of the two, one external examiner shall have to be present in the Viva-Voce. However, both the external examiners expressing their inability to attend the Viva-Voce, the Vice-Chancellor may appoint another examiner from the panel of examiners.
- C. In case of inability of the Supervisor to conduct the Viva-Voce due to any reason, the Co-Supervisor, otherwise, a member of the **RDC/RB** nominated by the Vice-Chancellor shall be member of the Viva-Voce board.
- D. The Viva-Voce board shall be provided with the reports of all the examiners before the Viva-Voce.
- E. The External Examiner and Supervisor shall submit a report on the performance of the candidate at the Viva-Voce including the discussions over various points raised by the examiner(s) and examiner shall recommend one of the following:
 - i. that the degree be awarded,
 - ii. that the degree not to be awarded and the thesis be rejected.



22. AWARD OF THE DEGREE:

- A. The DRC on being satisfied with the recommendation of the Viva-Voce board shall give approval to award of Ph.D. degree to the scholar, subject to approval by the Academic Council.
- B. The Registrar shall issue a notification to this effect mentioning Name of the Scholar, Supervisor, Co- Supervisor, title of the thesis, and dates of thesis submission and award at the earliest certifying to the effect that the Ph.D. degree has been awarded in accordance with the provisions of UGC regulation June, 2009 (Minimum standards and procedures for awards of M.Phil/Ph.D. Degree) stands conferred on the research student along with the PhD notification. The notification shall be issued only after receipt of Dues clearance certificates from the scholar.
- C. Date of submission of the thesis or corrected thesis (whichever case may be) shall be date of award of Ph.D.

23. ATTENDANCE AND LEAVE RULES:

- A. A regular registered scholar is required to sign on all working days (180 days) in an attendance register to be kept with the Supervisor in the Department concerned, except when he/she is on duty/sanctioned leave (Not applicable for Executive Ph.D. Programme).
- B. A Ph.D. scholar shall be eligible to avail a leave of 30 days in an academic year but not exceeding 15 days at a time. He/she may avail additional leave of up to 10 days on medical grounds in an academic year. The aforesaid leave provisions shall be cumulative.
- C. Leave for the Research work may be sanctioned to a regular Ph.D. scholar for carrying out a part of his/her Ph.D. research works. Such leave shall be sanctioned normally for a period not exceeding 120 days in an academic year.
- D. Duty leaves for the purpose of attending the Seminar/Symposium/Conference/Workshop / Summer School / Training Programme etc. may be sanctioned as and when required.
- E. Any leave to a scholar shall be sanctioned only on the recommendation of concerned Supervisor.

24. DEPOSITION OF THESIS WITH UNIVERSITY & UGC:

- A. Copy of every thesis approved for the award of the Ph.D. degree shall be kept both in the University Library and the Departmental Library.
- B. The university shall submit a soft copy of the Ph.D. thesis to the UGC within a period of thirty days for hosting the same in INFLIBNET, making it accessible to all Institutions/Universities.

25. PLAGIARISM:

In case a Ph. D. candidate is found adopting or suspected of adopting unfair means or lifting of other's work and inserting it in his/her work without proper acknowledgement, credit and reference, such penal action shall be taken by the University as may be necessary to uphold the sanctity and the integrity of the examination system/research work, and the credibility of the University. Ph.D. candidate solely responsible for such act/s of Plagiarism.

26. REMOVAL OF DIFFICULTIES:

Notwithstanding anything contained in the above regulation, the Vice-Chancellor may take such measures as may be necessary for removal of difficulties subject to ratification by the Academic Council.



Annexure 1

CERTIFICATE

This is to certify that.....bearing Enrollment number.....year.....is a bonafied Ph.D. student Enrolled in the Department of Under Faculty of, Sardar Patel University of Police Security and Criminal Justice, Jodhpur

For the session () He /She has successfully completed Ph.D. Course work as partial fulfilment of Doctor of Philosophy (Ph.D.).

His / Her performance in the Course work is as follows:
Course Duration
Marks Obtained
Maximum Marks

Date:

Registrar



Annexure 2

Report

Proforma for Ph.D. thesis examiner's report

Name of the Candidate:.....
Title of the Thesis:.....
.....
Subject / Faculty:.....

Please fill in each column with detail as far as possible ; extra sheet could be used, if required.

(1) General Comments :
.....
.....
.....

(2) Strengths of the thesis :
.....
.....
.....

(3) Weakness of the thesis :
.....
.....
.....

- (4) Introduction to the work : Excellent / Good / Poor.
- (5) Review of literature : Excellent / Good / Poor.
- (6) Scope of the work : Excellent / Good / Poor.
- (7) Technical Soundness : Excellent / Good / Poor.
- (Research Methodology/Experimental set up)
- (8) Problem Statement : Excellent / Good / Poor.
- (9) Originality of work : Excellent / Good / Poor.
- (10) Contribution to the field : Excellent / Good / Poor.
- (11) Conclusion drawn : Excellent / Good / Poor.
- (12) Scope for further research in the field :
- (13) Adequacy of data and information : Adequate / Inadequate
- (14) Organization of the thesis : Excellent / Good / Poor.
- (15) Practical application/ Utility : Good / Few / Nil.
- (16) Adequacy of references/bibliography : Adequate / Inadequate
- (17) Clear explanation of the work : Excellent / Good / Poor.
- (18) Justification of the work done : Excellent / Good / Poor.
- (19) Clarity and unambiguity of the language : Excellent / Good / Poor.
- (20) Clarity of objectives : Excellent / Good / Poor.
- (21) Freedom from redundant/irrelevant material and errors. : Excellent / Good / Poor.



It is my considered opinion that (Please put mark)

- (a) The thesis be recommended for the award of Ph.D. degree.
- (b) The thesis be revised and resubmitted.
- (c) The thesis be rejected.

Also it is recommended that

- (d) The thesis may be published in the present form.
- (e) The thesis may be published in abridged form.
- (f) The thesis may be published in corrected form.
- (g) The thesis may be published in the form of research papers.
- (h) The thesis may not be published.

Date :.....

(Examiners Signature)


Name and address:.....
.....
.....
.....

Phone No.(O) :

(R) :

Mobile :

E-mail:

Fax.No : 

Annexure 3

Submission of Research Proposal

A full-length research proposal including the following

1. Introduction
2. Survey of research literature and gaps therein
3. Research questions, objectives & Hypothesis
4. Nature and scope of the study
5. Research Methodology
6. Tentative Chapterisation
7. Bibliography

The name of the researcher and the Supervisor (and co-Supervisor, if any) and the topic of research should be printed at the top. Both the Supervisor (and co-Supervisor, if any) and the student should sign the proposal.



Annexure 4

Confirmation of Registration for Ph.D. Programme

Ref :
To

Date :

.....
.....
.....

Subject: Your application for Registration for Ph. D. dated

Dear Shri/Smt./Kum.....

I am happy to inform you that the Academic Council of Sardar Patel University of Police Security and Criminal Justice, Jodhpur..... (subject)(Faculty) has approved your research topic as it is/with modification/s as follows:

2. Your place of research will be.....
3. Your admission is now confirmed as per the University's Ph.D. Rules.

The details of your admission are:

1. Subject :
2. Faculty :
3. Supervisor :
4. Co-Supervisor:
(if applicable) :
5. Date of Registration :
6. Period of Registration : from to

4. Please note that your admission will be governed by the University rules for the Degree of Doctor of Philosophy (Ph.D.).

Yours faithfully
Registrar

Copy to:

1. The Dy. Registrar (Ph.D Unit),
2. The Supervisor : Prof./Dr.
3. The Co-Supervisor : Prof./Dr.



Annexure 5

Declaration by the Candidate

I hereby declare that the thesis entitled submitted by me for the degree of Doctor of Philosophy is the original research work carried out by me during the period from.....to under the guidance of and has not submitted for the award of any degree, diploma, associate ship, fellowship, titles in this or any other University or other institution of higher learning.

Further I hereby declare that the material obtained from other sources has been duly acknowledged in the thesis. I shall be solely responsible for any plagiarism or other irregularities, if noticed in the thesis.


Signature of the Candidate

Date:

Annexure 6

Certificates to be incorporated in the Ph.D. thesis

This is to Certify that Shri/Smt./Kum..... has completed the Research study entitled.....(Title) under my supervision/guidance. To the best of my knowledge: (i) the candidate has not submitted the same research work to any other institution for any degree/diploma, Associate ship, Fellowship or other similar titles (ii) the thesis submitted is a record of original research work done by the student during the period of study under my supervision, and (iii) the thesis represents independent research work on the part of the student. The thesis has also not been sent for publication anywhere.

(Supervisor's and Co-Supervisor's Signature) 

Place:

Date:

Annexure 7

Consolidated Report of the Referees on the *Viva Voce*

The viva-voce of Shri/Smt./Kum. was conducted on(day and date). The performance of the candidate was satisfactory/unsatisfactory.


We have conducted the *viva voce* of the Ph.D. thesis entitled: in the University/or at..... on

*The performance of the candidate was satisfactory. We recommend that he/she be awarded the Ph.D. Degree.

*The performance of the candidate was unsatisfactory. We recommend that he/she should not be awarded the Ph.D. Degree. The *viva voce should be* arranged again on

*(tick which is applicable and delete which is not applicable)

(Supervisor)
Name and Signature

(External Referee)
 Name and Signature